

Charm CP Violation

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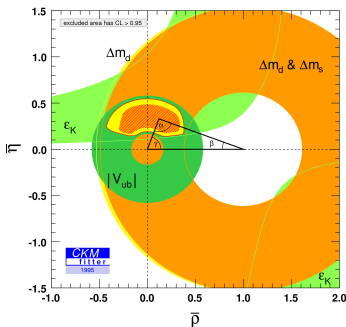
University of Manchester

**Brookhaven Forum 2021:
Opening New Windows to the Universe**

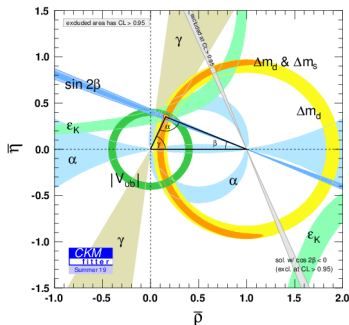
Brookhaven National Laboratory
Upton, NY, USA
November 2021

This is where we are in Quark-Flavor.

[CKMfitter, <http://ckmfitter.in2p3.fr>]



1995



EPS-HEP 2019

- Community effort due to both **theoretical** and **experimental** progress.

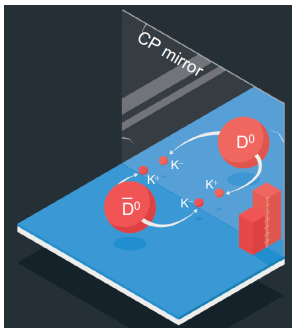
Direct Charm CP Violation

2019: Discovery of Charm CP Violation

New **unique gate** to flavor structure of **up-type** quarks.

$$\Delta A_{CP} \approx a_{CP}^{\text{dir}}(D^0 \rightarrow K^+ K^-) - a_{CP}^{\text{dir}}(D^0 \rightarrow \pi^+ \pi^-) = (-0.164 \pm 0.028)\%$$

[LHCb 1903.08726, HFLAV 1909.12524]



Expected to be **unobservably tiny**. But it is **not**.

Direct CP Violation is an Interference Effect

$$a_{CP}^{\text{dir}}(f) \equiv \frac{|\mathcal{A}(D^0 \rightarrow f)|^2 - |\mathcal{A}(\bar{D}^0 \rightarrow f)|^2}{|\mathcal{A}(D^0 \rightarrow f)|^2 + |\mathcal{A}(\bar{D}^0 \rightarrow f)|^2} \approx 2(r_{\text{CKM}} \sin \varphi_{\text{CKM}})(r_{\text{QCD}} \sin \delta_{\text{QCD}}).$$

f = CP-eigenstate.

The decay amplitude:

$$\mathcal{A} = 1 + r_{\text{CKM}} r_{\text{QCD}} e^{i(\varphi_{\text{CKM}} + \delta_{\text{QCD}})}$$

- r_{CKM} : real ratio of CKM matrix elements.
- φ_{CKM} : weak phase.
- r_{QCD} : real ratio of hadronic matrix elements.
- δ_{QCD} : strong phase.

Where does the interference come from?

$$D^0 \xrightarrow{V_{cd}^* V_{ud}} \pi^+ \pi^-$$

$$D^0 \xrightarrow{V_{cs}^* V_{us}} K^+ K^- \xrightarrow{\text{QCD}} \pi^+ \pi^-$$

$$D^0 \xrightarrow{V_{cd}^* V_{ud}} \pi^+ \pi^- \xrightarrow{\text{QCD}} K^+ K^-$$

$$D^0 \xrightarrow{V_{cs}^* V_{us}} K^+ K^-$$

$KK \leftrightarrow \pi\pi$ rescattering into same final state.

Weak and strong factors

$$\frac{\mathcal{A}(D \rightarrow \pi\pi \rightarrow KK)}{\mathcal{A}(D \rightarrow KK)} = \left(r_{\text{CKM}} e^{i\varphi_{\text{CKM}}}\right) \left(r_{\text{QCD}} e^{i\delta_{\text{QCD}}}\right)$$

- r_{QCD} : ratio of rescattering amplitudes.
- $\delta_{\text{QCD}} = \mathcal{O}(1)$: strong phase.
- $r_{\text{CKM}} = 1$: ratio of CKM factors, $|V_{cd}^* V_{ud} / (V_{cs}^* V_{us})|$
- $\varphi_{\text{CKM}} \approx 6 \cdot 10^{-4}$: deviation from 2×2 unitarity.

Prediction

$$\Delta a_{CP}^{dir} \sim 10^{-3} \times r_{\text{QCD}}$$

- U -spin decomposition: $r_{\text{QCD}} = r_{\text{QCD}}^{\Delta U=0} \equiv \mathcal{A}^{\Delta U=0} / \mathcal{A}^{\Delta U=1}$.

“ $\Delta U = 0$ rule”: $r_{\text{QCD}} \sim 1$ [Grossman StS 1903.10952]

- We claim $\Delta U = 0$ follows **similar pattern** as generalized $\Delta I = 1/2$ rule.
- **Both** due to low energy QCD, **rescattering**.

“ $\Delta I = 1/2$ rules” for isospin in $P^+ \rightarrow \pi^+\pi^0$, $P^0 \rightarrow \pi^+\pi^-$, $P^0 \rightarrow \pi^0\pi^0$

- Relevant ratio of strong isospin matrix elements:

$r_{\text{QCD}}^{\Delta I=1/2} \equiv A^{\Delta I=1/2}/A^{\Delta I=3/2}$	Kaon	Charm	Beauty
Data	22	2.5	1.5
“No QCD” limit	$\sqrt{2}$	$\sqrt{2}$	$\sqrt{2}$
Enhancement	$\mathcal{O}(10)$	$\mathcal{O}(1)$	$\mathcal{O}(\alpha_s)$

[D: Franco Mishima Silvestrini 2012, B: Grinstein Pirtskhalava Stone Uttayarat 2014]

- Rescattering most important in **K decays**, less important but still significant in **D decays**, and small in **B decays**.

Comparison of approaches: What is r_{QCD} ?

Data

Assuming the SM, and $\delta_{QCD} = O(1)$, the **data** implies $r_{QCD}^{\Delta U=0} \sim 1$.

Ref.	Theory Method/Assumptions	$r_{QCD}^{\Delta U=0}$	SM/NP
[Grossman StS 1903.10952]	Analogy to $\Delta I = 1/2$ rules Low energy QCD, rescattering is $O(1)$	$O(1)$	SM
[Brod Kagan Zupan 1111.5000]	Phenomenological analysis	$O(1)$	SM
[Soni 1905.00907, StS Soni 2110.07619]	Resonance model	$O(1)$	SM
[Petrov Khodjamirian 1706.07780] [Chala Lenz Rusov Scholtz 1903.10490]	Light Cone Sum Rules Resonances in principle incorporable.	$O(\alpha_s/\pi)$	NP

What next? Apply methods to $\Delta I = 1/2$ rule in charm!
Reproduction of $\Delta I = 1/2$ crucial for NP case in $\Delta U = 0$.

The jury is still out: Is it SM or not?

- No matter what it is, we learn sth new.
- We have a good argument why it is **QCD**.
- Assumption of **large rescattering** at low energy **agrees** with the data.

$$\text{Loop/Tree} = \mathcal{O}(1)$$



Key insight: Charm is not heavy.

A_{CP} Sum Rules: Overconstrain the SM

Challenge for predicting CP asymmetries

- New hadronic quantities appear.
- These cannot be extracted from \mathcal{B} measurements.

Solution

Make up $SU(3)_F$ sum rules in which these cancel.

$SU(3)_F$ limit sum rules

$$a_{CP}^{\text{dir}}(D^0 \rightarrow \pi^+ \pi^-) + a_{CP}^{\text{dir}}(D^0 \rightarrow K^+ K^-) = 0,$$

$$a_{CP}^{\text{dir}}(D_s^+ \rightarrow K_S \pi^+) + a_{CP}^{\text{dir}}(D^+ \rightarrow K_S K^+) = 0.$$

What next? Key Measurements at Belle II

Observable	Current HFLAV Avg.	Impact
$A_{CP}(D^0 \rightarrow \pi^0 \pi^0)$	-0.0003 ± 0.0064	SM sum rule 1/isospin analysis
$A_{CP}(D_s^+ \rightarrow K^+ \pi^0)$	$+0.020 \pm 0.030$	SM sum rule 2
$A_{CP}(D^+ \rightarrow \pi^+ \pi^0)$	$+0.004 \pm 0.008$	= 0. Higher orders < sensitivity.
$A_{CP}(D^0 \rightarrow K_S K_S)$	-0.019 ± 0.010	$\lesssim 1\%$ in SM.

A_{CP} sum rules including breaking effects [Müller Nierste StS 1506.04121]

- SM sum rule 1: $D^0 \rightarrow K^+ K^-$, $D^0 \rightarrow \pi^+ \pi^-$, $D^0 \rightarrow \pi^0 \pi^0$.
- SM sum rule 2: $D^+ \rightarrow K_S K^+$, $D_s^+ \rightarrow K_S \pi^+$, $D_s^+ \rightarrow K^+ \pi^0$.

Isospin Analysis

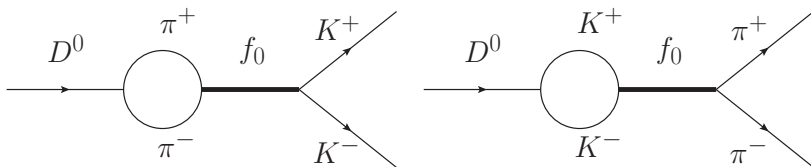
[Grossman Kagan Zupan 1204.3557]

- $D^0 \rightarrow \pi^+ \pi^-$, $D^+ \rightarrow \pi^+ \pi^0$, $D^0 \rightarrow \pi^0 \pi^0$ give $\Delta I = 1/2$ and $\Delta I = 3/2$ MEs.

What next? Check dynamical mechanism from data.

$$D^0 \xrightarrow{V_{cd}^* V_{ud}} \pi^+ \pi^-$$

$$D^0 \xrightarrow{V_{cs}^* V_{us}} K^+ K^- \xrightarrow{\text{QCD}} \pi^+ \pi^-$$



Assumptions

[StS and A. Soni, 2110.07619]

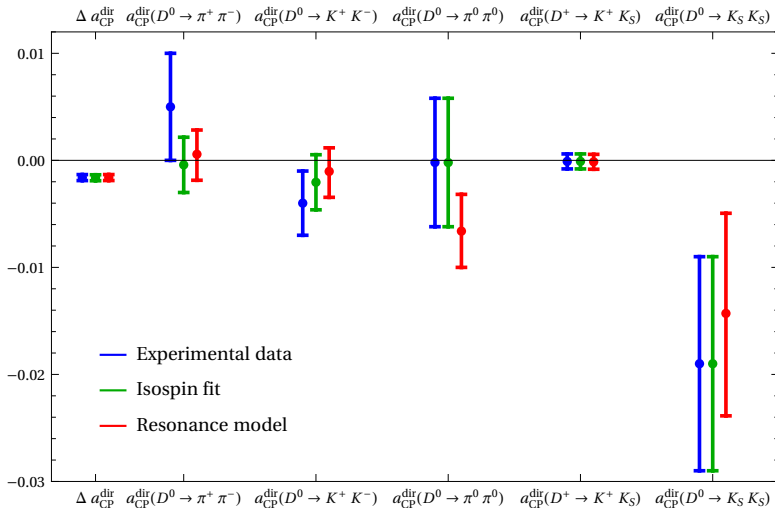
- Amplitudes to $I = 0$ states **dominated** by f_0 close to D^0 mass.
- Amplitudes into $I = 1$ states relatively suppressed.

Resonance structure can also be incorporated in future LCSR calculations.

[Khodjamirian Petrov 1706.07780]

Predictions in Scalar Resonance Model

[StS and A. Soni, 2110.07619]



What next? Study of $\Delta U = 0$ in three-body decays

[Dery Grossman StS Soffer 2101.02560]

$$\mathcal{A}(D^0 \rightarrow \pi^+ \rho^-) = -\lambda T^{P_1 V_2} - V_{cb}^* V_{ub} R^{P_1 V_2}$$

$$\mathcal{A}(D^0 \rightarrow \pi^- \rho^+) = -\lambda T^{P_2 V_1} - V_{cb}^* V_{ub} R^{P_2 V_1}$$

- Time-integrated CP asym. of **2-body decays** give only combinations

$$|\widetilde{R}^{P_1 V_2}| \sin(\delta_{P_1 V_2}) \quad \text{and} \quad |\widetilde{R}^{P_2 V_1}| \sin(\delta_{P_2 V_1}),$$

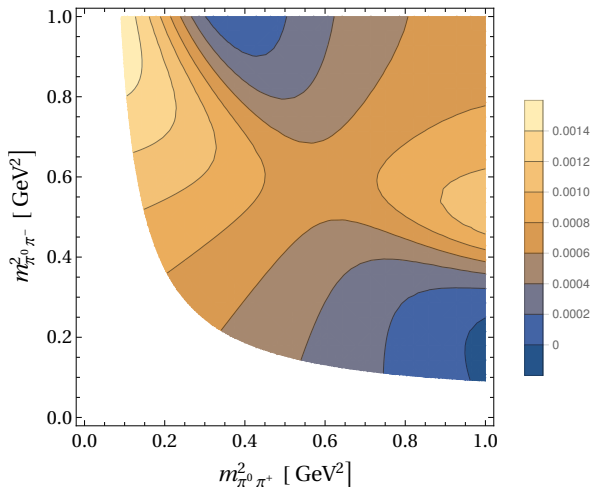
but **not** magnitudes and phases separately.

- Three body decay** changes 2 things:
 - We have additional kinematic dependences.
 - Only in a three-body decay we have **interference** between $D^0 \rightarrow \pi^+(\rho^- \rightarrow \pi^- \pi^0)$ and $D^0 \rightarrow \pi^-(\rho^+ \rightarrow \pi^+ \pi^0)$.

➡ **Extraction of all parameters** from **time-integrated** CP meas.

Local $a_{CP}^{\text{dir}}(D^0 \rightarrow \pi^+ \pi^- \pi^0)$ in overlap region of ρ^\pm

[Dery Grossman StS Soffer 2101.02560]



Numerical example: $\widetilde{R}^{P_1 V_2} = \exp(i\pi/2)$, $\widetilde{R}^{P_2 V_1} = \frac{1}{4} \exp(i\pi/3)$

What next? Higher-Order SU(3)-flavor

[Gavrilova Grossman StS, 21xx.soon]

- SU(3): **Approximate** symmetry for the light quarks **u, d, s**.
- Very useful, but **$O(30\%)$ breaking** from corrections.
- Going to **higher order**: complicated.

$$(\mathbf{15}) \otimes (\mathbf{8}) = (\mathbf{42}) \oplus (\mathbf{24}) \oplus (\mathbf{15}_1) \oplus (\mathbf{15}_2) \oplus (\mathbf{15}') \oplus (\bar{\mathbf{6}}) \oplus (\mathbf{3})$$

$$(\bar{\mathbf{6}}) \otimes (\mathbf{8}) = (\mathbf{24}) \oplus (\mathbf{15}) \oplus (\bar{\mathbf{6}}) \oplus (\mathbf{3})$$

Decay d	B_1^{31}	B_1^{32}	B_8^{31}	B_8^{32}	$B_8^{\bar{6}1}$	$B_8^{\bar{6}2}$	$B_8^{15_1}$...
$D^0 \rightarrow K^+ K^-$	$\frac{1}{4\sqrt{10}}$	$\frac{1}{8}$	$\frac{1}{10\sqrt{2}}$	$\frac{1}{4\sqrt{5}}$	$\frac{1}{10}$	$-\frac{1}{10\sqrt{2}}$	$-\frac{7}{10\sqrt{122}}$...
$D^0 \rightarrow \pi^+ \pi^-$	$\frac{1}{4\sqrt{10}}$	$\frac{1}{8}$	$\frac{1}{10\sqrt{2}}$	$\frac{1}{4\sqrt{5}}$	$-\frac{1}{10}$	$\frac{1}{10\sqrt{2}}$	$-\frac{11}{10\sqrt{122}}$...
$D^0 \rightarrow \bar{K}^0 K^0$	$-\frac{1}{4\sqrt{10}}$	$-\frac{1}{8}$	$\frac{1}{5\sqrt{2}}$	$\frac{1}{2\sqrt{5}}$	0	0	$-\frac{9}{5\sqrt{122}}$...
$D^0 \rightarrow \pi^0 \pi^0$	$-\frac{1}{8\sqrt{5}}$	$-\frac{1}{8\sqrt{2}}$	$-\frac{1}{20}$	$-\frac{1}{4\sqrt{10}}$	$\frac{1}{10\sqrt{2}}$	$-\frac{1}{20}$	$\frac{11}{20\sqrt{61}}$...
...

[Table: Hiller Jung StS 1211.3734]

Solving the Problem of Higher Order SU(3)

[Gavrilova Grossman StS, 21xx.soon]

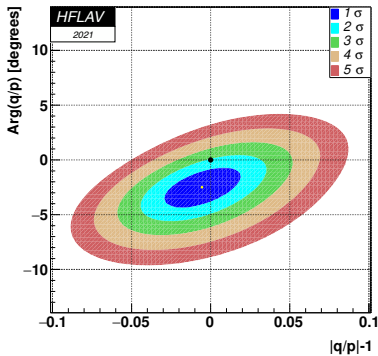
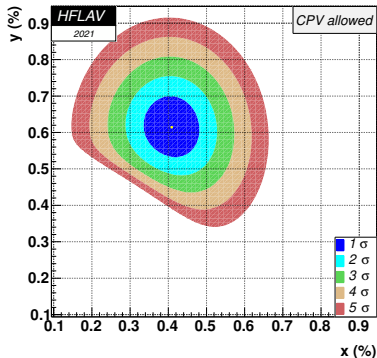
We proved several theorems enabling calculations to arbitrary order.

- We are able to determine a priori up to which order sum rules exist.
- We do not need explicit Clebsches. Big complexity reduction.
- Hope: Opens the door for precision in hadronic decays.
- Close a gap between theory and experiment.

Take advantage of precision data on nonleptonic decays.

Charm Mixing and Indirect CP Violation

Status



- Mixing parameters $x \equiv \Delta m/\Gamma$ and $y \equiv \Delta\Gamma/(2\Gamma)$.
- **2021**: First observation of $x \neq 0$ with $> 7\sigma$. [LHCb 2106.03744].
- Uncertainty of y reduced by a factor two in [LHCb 2110.02350].
- $|q/p| \neq 1$ would indicate CPV in **mixing**.
- $\text{Arg}(q/p) \neq 0$ would indicate CPV from **interference** mixing/decay.
- **SM**: **hard** to calculate. **Qualitative agreement** with SM.

Exclusive Approach: Hadron-Level

$$\Gamma_{12}^D = \sum_n \rho_n \langle \overline{D^0} | \mathcal{H}_{eff}^{\Delta C=1} | n \rangle \langle n | \mathcal{H}_{eff}^{\Delta C=1} | D^0 \rangle ,$$

$$M_{12}^D = \sum_n \langle \overline{D^0} | \mathcal{H}_{eff}^{\Delta C=2} | D^0 \rangle + \mathcal{P} \sum_n \frac{\langle \overline{D^0} | \mathcal{H}_{eff}^{\Delta C=1} | n \rangle \langle n | \mathcal{H}_{eff}^{\Delta C=1} | D^0 \rangle}{m_D^2 - E_n^2}$$

- n : all possible hadronic states. ρ_n : density of state. \mathcal{P} : principal value.
- Result: $y \sim 1\%$, agreeing with measurements.

What next?

- More experimental input needed (BRs and phases).
- Theory: Need to take into account more $SU(3)_F$ breaking effects.
- Long-term: Lattice predictions?

Inclusive Approach: Quark-Level

- Heavy-Quark Expansion (HQE), motivated by $\tau(D^+)/\tau(D^0)$.
- Needed non-perturbative matrix elements from sum rules or Lattice
- **Severe GIM**-cancellations may take place.

Recent Developments

[Lenz Piscopo Vlahos 2007.03022]

- GIM depends on **scales** entering different box contributions.
These contain different amounts of strangeness.
- No need that these scales are the same \Rightarrow **GIM cancellation broken**.
- **HQE uncertainty** gets larger, including y^{exp} .

What next?

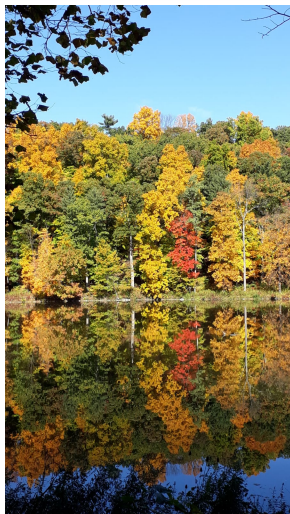
- **Higher orders** in HQE expansion.
- After Γ_{12} also M_{12} , e.g. with dispersion relations.

What will charm reveal next?

- Will the **global charm fit** give a consistent picture?
- Sum rules for **baryon decays**, including fully **general $SU(3)_F$ breaking**.
- How to define **ΔA_{CP} for 4-body decays** in an advantageous way?
- **Optimal observable** for detecting CPV in **multibody** decays?
Smart binning?
- How to describe **$SU(3)_F$ -breaking** effects from **Dalitz phase space**?
- How good is charm described by **Light-Cone Sum Rules (LCSR)** ?
- How good is charm described by **QCD factorization (BBNS)**?
- What can we learn about **η - η' -mixing** from charm decays?
- **Isospin-breaking and electroweak corrections** to
nulltest isospin relations like $A_{CP}(D^+ \rightarrow \pi^0 \pi^+) = 0$.
- What more can **correlated D^0 - \bar{D}^0 states** tell us, e.g. at a future
 τ -charm factory?

Conclusions

- So much **more data** and **theory ideas**: New era in flavor physics.
- We need to keep:
Theory error < Experimental error .
- No matter what, we will learn sth new: **QCD** or **New Physics**.



[Discrete symmetries at
Beebe lake, Cornell]